

2006 Enforcement Actions

The following files are the final results of investigative matters against architects and non-licensed persons from November 2005 through December 2006, where an official finding of wrong doing was determined, a settlement agreement was entered into or compliance was otherwise obtained.

Please note that each file gives a brief description of the case and the code sections allegedly violated. * **Only the names of those where an official finding was determined or those who entered into settlement agreements for violations other than administrative firm licensing matters are published.**

If you would like more specific information regarding a case, please contact the Board's Investigator, Chad B. Holland at (614) 466-1476 or via e-mail at chad.holland@arla.state.oh.us

File #03-19

Mark A. Nye, Cert. No. 7971

CM Architects, Inc.

Columbus, Ohio

An administrative hearing was held regarding Mr. Nye's professional conduct on the design and renovation of a church. Nye was found to have violated Ohio Revised Code sections 4703.15 (A) (2) and Ohio Administrative Code section 4703-3-07 (E) (3) which state that an architect shall not engage in conduct involving fraud or deceit. Due to Mr. Nye's actions, the Board *revoked* his license to practice architecture in Ohio.

Nye appealed the Board's revocation order to the Franklin County, Court of Common Pleas which affirmed the Board's decision. He then appealed that decision to the Court of Appeals, Tenth Appellate District, which also ruled in favor of the Board, therefore upholding the Board's revocation of Mr. Nye's license.

File #05-20

This non-architect allegedly violated Ohio Revised Code sections 4703.06, 4703.18 (A) and 4703.18 (H), which prohibit non-architects and non-architectural firms from advertising, offering or providing architectural services. This individual forwarded an architectural firm's business telephone number to his personal telephone giving an appearance to the public that the firm was still a legitimate architectural firm, despite the fact that the sole architect of the firm passed away. This matter was settled whereby the individual agreed to disconnect his telephone number and not engage in the practice of architecture.

File #05-24

Alvah P. Breitweiser, Cert. No 6970

Ft. Myers, Florida

After an administrative hearing, Mr. Breitweiser was found to have violated Ohio Revised Code sections 4703.15 (A) (2), (3) and Ohio Administrative Code sections 4703-3-07 (D) (1), (3) and 4703-3-07 (E) (3) for being convicted of bank fraud, which is a felony violation and for engaging in plan stamping and aiding & abetting an unlicensed person in the practice of architecture for a project in Florida. Due to Mr. Breitweiser's actions, the Board *revoked* his license to practice architecture in Ohio.

File #05-21

Kevin S. Hoffman, Cert. No. 9343

New Albany, Ohio

After an investigation, the Board determined that Mr. Hoffman had violated Ohio Revised Code sections 4703.15 (A) (2), (3) and 4703.18 (H) (L) and Ohio Administrative Code sections 4703-3-01 (A), 4703-3-02 (A), 4703-3-07 (D) (3) and 4703-3-07 (E) (3) for being found guilty in a court of law of perpetrating a fraud against a client. He was also found by the Board to have not possessed a current firm certificate of authorization

This matter was amicably resolved whereby Mr. Hoffman entered into a settlement agreement with the terms that he be placed under probation, reimburse his client for all monies owed, complete the NCARB professional conduct monograph and accept a letter of reprimand.

File #05-36

David E. Hawkins, Cert. No. 7945

Columbus, Ohio

After an investigation, the Board determined that Mr. Hawkins allegedly had provided architectural services with a license that had been lapsed for over 4 years, which is a violation of Ohio Revised Code sections 4703.06 and 4703.18 (A). The Board reinstated Mr. Hawkins' license only after he entered into a *settlement agreement* and successfully passed (3) NCARB health, safety and welfare *monographs*.

File #05-37

Mark T. Yager, Cert. No. 9563

Y Architects, LLC

Cleveland, Ohio

After an administrative hearing, Mr. Yager was found to have violated Ohio Revised Code sections 4703.15 (A) (3) and 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-02 (A) and 4703-3-07 (D) (3) for his conduct in refusing to cooperate with the Board's laws and rules which required him to obtain a firm certificate of authorization. Due to Mr. Yager's actions, the Board *revoked* his license to practice architecture in Ohio.

File #05-42

Raymond V. Michiels, Jr., Cert. No. 12467

Kennesaw, Georgia

After an administrative hearing, Mr. Michiels was found to have violated Ohio Revised Code sections 4703.15 (A) (3), (5) and 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-01 (C), 4703-3-02 (A), 4703-3-07 (D) (3) , 4703-3-07 (E) (1) and 4703-3-09 (A) for engaging in plan stamping and aiding & abetting an unlicensed person in the practice of architecture on at least (4) four known projects, and for not possessing a written contract or a firm certificate of authorization. Due to Mr. Michiels' actions, the Board *revoked* his license to practice architecture in Ohio.

File #05-43

William J. Mitchell

WJM Designs

Acworth, Georgia

After an investigation, Mr. Mitchell was found to have allegedly contracted for, and provided architectural design services through an Ohio architect without first obtaining a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.06, 4703.18 (A) and 4703.18 (H). This matter was amicably resolved whereby Mr. Mitchell entered into a *settlement agreement* and agreed to cease and desist from such practices.

File #05-44

John D. Becker, Cert. No. 7651

Cincinnati, Ohio

Mr. Becker allegedly provided architectural services with a license that had been lapsed since 1997, which is primarily a violation of Ohio Revised Code sections 4703.06, 4703.15 (A) (3) and 4703.18 (A). The Board reinstated Mr. Becker's license only after he accepted a Letter of Reprimand, successfully passed the NCARB Professional Conduct Monograph and entered into a *settlement agreement* whereby he agreed to maintain a valid license.

File #05-45

This Ohio architect who co-owns an interior design firm allegedly violated Ohio Revised Code section 4703.18, which prohibits non-architectural firms from advertising, offering or providing architectural services without a Certificate of Authorization. This firm was advertising via its website and marketing materials that it provided architectural services. This matter was settled amicably whereby the architect agreed to revise his website and marketing material.

File #05-46

This Ohio architect and architectural firm allegedly violated Ohio Revised Code section 4703.06, which prohibits non-architects from assuming title, abbreviation or words to imply that he or she is an architect or registered architect. This firm allegedly was holding out several of its unlicensed employees as an "Architectural Technician" and "Intern Architect". This matter was amicably resolved whereby the firm agreed to delete any reference to these terms for its unlicensed personnel.

File #05-48

After an investigation, the Board determined that this architect allegedly had provided architectural services since January 2005 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L). The Board approved the architect's application for Certificate of Authorization only after he entered into a *settlement agreement*, whereby he paid a *fine of \$375*.

File #05-49

An Ohio architect was found to have violated the laws and rules of another state in which he was licensed to practice architecture in, which is a violation of O.A.C. 4703-3-07 (D) (3). This matter was resolved whereby a warning letter was issued to the architect reminding him to be more cognizant of the laws & rules in any jurisdiction where he practices.

File #05-50

An Ohio architect was found to have violated the laws and rules of another state in which he was licensed to practice architecture in, which is a violation of O.A.C. 4703-3-07 (D) (3). This matter was resolved whereby the architect agreed to voluntarily surrender his Ohio license.

File #05-51

After an investigation, the Board determined that this architect allegedly provided architectural services since 1990 through a firm that did not possess a current Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L). The Board approved the architect's application for Certificate of Authorization only after he entered into a *settlement agreement*, whereby he paid a *fine of \$400*, accepted a letter of reprimand and successfully passed the NCARB Professional Conduct *monograph*.

File #05-52

This non-architectural firm allegedly violated Ohio Revised Code sections 4703.06, 4703.18 (A) and 4703.18 (H), which prohibit non-architects and non-architectural firms from advertising, offering or providing architectural services. Via its website, this firm allegedly advertised itself as providing architectural services. This matter was amicably resolved whereby the company agreed to revise the language on its website.

File #05-53

An Ohio architect was found to have violated the laws and rules of another state in which he was licensed to practice architecture in, which is a violation of O.A.C. 4703-3-07 (D) (3). This matter was resolved whereby a warning letter was issued to the architect reminding him to be more cognizant of the laws & rules in any jurisdiction where he practices.

File #05-54

Joseph L. Myers, Cert. No. 7968

Willoughby, Ohio

After an investigation, Mr. Myers was initially found by the Ohio Board of Registration for Professional Engineers to have offered and provided engineering services without a license. Additionally, the Architect Board found that Mr. Myers signed and sealed mechanical, electrical, hvac and plumbing drawings prepared by non-licensed engineering consultants, which is primarily a violation of O.A.C. 4703-3-07 (E) (1). This matter was resolved whereby Mr. Myers entered into a *settlement agreement*, paid a *fine* of \$2,000, accepted a *letter of reprimand* and successfully passed the NCARB Professional Conduct *monograph*.

File #05-55

An Ohio architect was found to have violated the laws and rules of another state in which he was licensed to practice architecture in, which is a violation of O.A.C. 4703-3-07 (D) (3). This matter was resolved whereby a *warning letter* was issued to the architect reminding him to be more cognizant of the laws & rules in any jurisdiction where he practices.

File #05-56

John R. Hause, Cert. No. 11670

Clearwater, Florida

After an investigation, Mr. Hause was found to have violated O.R.C. sections 4703.15 (A) (3), (5) and O.A.C. sections 4703-3-01 (C), 4703-3-07 (E) (1), 4703-3-07 (D) (3) and 4703-3-09 for reviewing, signing and sealing construction documents through a firm which was not licensed to provide and/or offer architectural services and for providing architectural services without a written contract between himself and his client. This matter was amicably resolved whereby Mr. Hause entered into a *settlement agreement* whereby he agreed to pay a *fine of \$1,000*, accept a *letter of reprimand* and successfully complete the NCARB Professional Conduct *monograph*.

File #05-57

David Howse

4Dzines, LLC

Marietta, Georgia

After an investigation, Mr. Howse was found to have allegedly contracted for, and provided architectural design services through an Ohio architect without first obtaining a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.06, 4703.18 (A) and 4703.18 (H). This matter was amicably resolved whereby Mr. Howse entered into a *settlement agreement* and agreed to cease and desist from such practices.

File #06-01

Norman K. Wiebusch, Cert. No. 10501

Cleveland, Ohio

After an investigation, the Board determined that Mr. Wiebusch allegedly had provided architectural services with a license that had been lapsed since December 1997, which is primarily a violation of Ohio Revised Code sections 4703.06 and 4703.18 (A). The Board reinstated Mr. Wiebusch's license only after he entered into a *settlement agreement*, whereby he agreed to pay a *fine of \$1,000*, accept a letter of reprimand and successfully pass the NCARB Professional Conduct *monograph*.

File #06-02

This Ohio architect and architectural firm allegedly violated Ohio Revised Code section 4703.06, which prohibits non-architects from assuming title, abbreviation or words to imply that he or she is an architect or registered architect. This firm allegedly was soliciting the services of an "Intern Architect" via advertisements in local AIA newsletters. This matter was amicably resolved whereby the architect agreed to delete any reference to the term "Intern Architect".

File #06-04

An Ohio architect was found to have violated the laws and rules of another state in which he was licensed to practice architecture in, which is a violation of O.A.C. 4703-3-07 (D) (3). This matter was resolved whereby a *warning letter* was issued to the architect reminding him to be more cognizant of the laws & rules in any jurisdiction where he practices.

File #06-05

Lisa Sandlin

Lisa Sandlin Design

Hamilton, Ohio

After an investigation, Ms. Sandlin allegedly violated Ohio Revised Code sections 4703.06, 4703.18 (A) and 4703.18 (H), which prohibit non-architects and non-architectural firms from advertising, offering or providing architectural services. Ms. Sandlin, via company business cards, allegedly advertised the provision of "Residential Architecture" and "Architectural Drawings" as part of her services. This matter was amicably resolved whereby she entered into a *settlement agreement* and agreed to revise her business cards.

File #06-06

John H. Corak

Architectural Designs by John H. Corak

Grove City, Ohio

This non-architect allegedly violated Ohio Revised Code sections 4703.06, 4703.18 (A) and 4703.18 (H), which prohibit non-architects and non-architectural firms from advertising, offering or providing architectural services. Via his website, Mr. Corak allegedly advertised his firm as a full service architectural firm offering custom architectural services. This matter was amicably resolved whereby Mr. Corak entered into a settlement agreement and agreed to take down his firm's website.

File #06-07

Brian Pickard

pickard-design

Columbus, Ohio

After an investigation, Mr. Pickard allegedly violated Ohio Revised Code sections 4703.06, 4703.18 (A) and 4703.18 (H), which prohibit non-architects and non-architectural firms from advertising, offering or providing architectural services. Mr. Pickard, via a company website, allegedly advertised the provision of "Architectural Design Services" as part of his services. This matter was amicably resolved whereby he entered into a *settlement agreement* and agreed to revise his company website.

File #06-10

This Ohio architect filed application for a firm Certificate of Authorization in 2006, even though his firm had been incorporated and providing architectural services since 2000. It is a violation of 4703.18 (H) for any firm to provide or offer architectural services without first obtaining a Certificate of Authorization. This matter was settled amicably whereby the architect was issued a warning letter and advised the Board could fine him for his actions.

File #06-13

Kenneth C. Porter

The Design Depot

North Kingsville, Ohio

After an investigation, Mr. Porter allegedly violated Ohio Revised Code sections 4703.06, 4703.18 (A) and 4703.18 (H), which prohibit non-architects and non-architectural firms from advertising, offering or providing architectural services. Mr. Porter, via a company website, allegedly advertised the provision of "Architectural Design Services" as part of his services. This matter was amicably resolved whereby he entered into a *settlement agreement* and agreed to revise his company website.

File #06-15

John A. Susnik

Sunray Window Films, LLC

Independence, Ohio

After an investigation, Mr. Susnik allegedly violated Ohio Revised Code sections 4703.06, 4703.18 (A) and 4703.18 (H), which prohibit non-architects and non-architectural firms from advertising, offering or providing architectural services. Mr. Susnik, via his company business cards, allegedly advertised the provision of "Architectural Design" services as part of his services. This matter was amicably resolved whereby he entered into a *settlement agreement* and agreed to revise his company business cards.

File #06-16

James R. Glennon

Architectural Design & Imaging, Inc.

Parma, Ohio

This non-architect allegedly violated Ohio Revised Code sections 4703.06, 4703.18 (A) and 4703.18 (H), which prohibit non-architects and non-architectural firms from advertising, offering or providing architectural services. Mr. Glennon registered a company with the Ohio Secretary of State under the name, "Architectural Design & Imaging, Inc." This matter was amicably resolved whereby he entered into a settlement agreement and agreed change the name of the firm.

File #06-17

Derrick S. Tarver

Cincinnati, Ohio

This non-architect allegedly violated Ohio Revised Code sections 4703.06 and 4703.18 (A), which prohibit non-architects from advertising, offering or providing architectural services. Mr. Tarver allegedly altered and revised residential construction drawings originally authored by an Ohio architect and submitted the revised drawings still bearing the architect's seal without the architect's knowledge or permission. This matter was amicably resolved whereby he entered into a settlement agreement and agreed to refrain from such practices in the future.

File #06-14

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services since May 1993 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code section 4703-3-02 (A). This matter was resolved whereby the architect entered into a *settlement agreement*, completed the NCARB Professional Monograph, accepted a *fine of \$1,000* and obtained a certificate of authorization for his firm.

File #06-19

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services since March 2004 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code section 4703-3-02 (A). This matter was resolved whereby the architect entered into a *settlement agreement*, completed the NCARB Professional Monograph, accepted a *fine of \$1,000* and obtained a certificate of authorization for his firm.

File #06-21

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services since December 2005 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code section 4703-3-02 (A). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$500* and obtained a certificate of authorization for his firm.

File #06-22

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code section 4703-3-02 (A). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$1,000* and obtained a certificate of authorization for his firm.

File #06-23

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services since September 2002 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code section 4703-3-02 (A). This matter was resolved whereby the architect entered into a *settlement agreement*, completed the NCARB Professional Monograph, accepted a *fine of \$1,000* and obtained a certificate of authorization for his firm.

File #06-24

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code section 4703-3-02 (A). This matter was resolved whereby the architect entered into a *settlement agreement*, completed the NCARB Professional Monograph, accepted a *fine of \$1,000* and obtained a certificate of authorization for his firm.

File #06-25

After an investigation, an Ohio engineering firm allegedly violated Ohio Revised Code sections 4703.06, 4703.18 (A) and 4703.18 (H), which prohibit non-architects and non-architectural firms from advertising, offering or providing architectural services. This firm allegedly advertised the provision of "Architectural Services" as part of his services. This matter was amicably resolved whereby the firm agreed to revise its company website.

File #06-25

This non-architectural firm allegedly violated Ohio Revised Code sections 4703.06, 4703.18 (A) and 4703.18 (H), which prohibit non-architects and non-architectural firms from advertising, offering or providing architectural services. Via its website, this firm allegedly advertised itself as providing architectural services. This matter was amicably resolved whereby the company agreed to revise the language on its website.

File #06-27

After an investigation, the Board determined that this Ohio architect allegedly provided architectural services without utilizing a written contract for such services, which is a violation of O.A.C. 4703-3-09 (A). A warning letter was issued to the architect reminding him to use written contracts in the future.

File #06-28

This non-architect allegedly violated Ohio Revised Code sections 4703.06, 4703.18 (A) and 4703.18 (H), which prohibit non-architects and non-architectural firms from advertising, offering or providing architectural services. This individual registered a company with the Ohio Secretary of State using the word "Architect" in the name. This matter was amicably resolved whereby he was issued a warning letter and agreed to dissolve the company until he becomes licensed as an architect.

File #06-30

This non-architect allegedly violated Ohio Revised Code sections 4703.06, 4703.18 (A) and 4703.18 (H), which prohibit non-architects and non-architectural firms from advertising, offering or providing architectural services. This individual allegedly advertised via their company letterhead, the terminology, "Architectural Solutions". This matter was amicably resolved whereby the individual agreed to revise its company letterhead.

File #06-32

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-02 (A) and 4703-3-07 (D) (3). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$1,000* and obtained a certificate of authorization for his firm.

File #06-33

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services since February 2006 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-02 (A) and 4703-3-07 (D) (3). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$500* and obtained a certificate of authorization for his firm.

File #06-34

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-02 (A) and 4703-3-07 (D) (3). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$1,000*.

File #06-35

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services since November 2004 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-02 (A) and 4703-3-07 (D) (3). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$1,000* and obtained a certificate of authorization for his firm.

File #06-36

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services since January 2006 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-02 (A) and 4703-3-07 (D) (3). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$500* and obtained a certificate of authorization for his firm.

File #06-37

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services since May 2005 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-02 (A) and 4703-3-07 (D) (3). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$1,000* and obtained a certificate of authorization for his firm.

File #06-38

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services since February 2006 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-02 (A) and 4703-3-07 (D) (3). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$500* and obtained a certificate of authorization for his firm.

File #06-39

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services since January 2003 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-02 (A) and 4703-3-07 (D) (3). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$1,000* and obtained a certificate of authorization for his firm.

File #06-42

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services since February 2006 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-02 (A) and 4703-3-07 (D) (3). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$500* and obtained a certificate of authorization for his firm.

File #06-44

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services since February 2006 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-02 (A) and 4703-3-07 (D) (3). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$500* and obtained a certificate of authorization for his firm.

File #06-47

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services since June 1999 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-02 (A) and 4703-3-07 (D) (3). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$1,000* and obtained a certificate of authorization for her firm.

File #06-49

An Ohio architect was found to have provided architectural services without using a written contract between him and his client, which is a violation of O.A.C. 4703-3-09. This matter was resolved whereby a *warning letter* was issued to the architect reminding him to utilize written contracts in the future.

File #06-50

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-02 (A) and 4703-3-07 (D) (3). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$1,000* and obtained a certificate of authorization for his firm.

File #06-51

After an investigation, the Board determined that this Ohio architect allegedly had provided architectural services since June 1997 through a firm that did not possess a Certificate of Authorization, which is primarily a violation of Ohio Revised Code sections 4703.18 (H), (L) and Ohio Administrative Code sections 4703-3-02 (A) and 4703-3-07 (D) (3). This matter was resolved whereby the architect entered into a *settlement agreement*, accepted a *fine of \$1,000* and obtained a certificate of authorization for his firm.